



Attorney Docket: UF141C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Peck *et al.*)
)
SERIAL NO.: 08/432,434)
)
FILED: April 28, 1995)
)
FOR: IN VITRO GROWTH OF)
FUNCTIONAL ISLETS)
OF LANGERHANS AND)
IN VIVO USES)
THEREOF)

EXAMINER: Dadio, S.

ART UNIT: ~~1815~~ 1858

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

SUBMISSION OF POWER OF ATTORNEY

Dear Sir:

Applicant hereby submits POWER OF ATTORNEY BY ASSIGNEE OF
ENTIRE INTEREST (REVOCATION OF PRIOR POWERS) for the above-captioned
case. Please direct all future correspondence to:

Barry J. Swanson
SWANSON & BRATSCHUN, L.L.C.
8400 East Prentice Avenue, Suite 200
Englewood, Colorado 80111
(303) 793-3333
(303) 793-3433 (Facsimile)

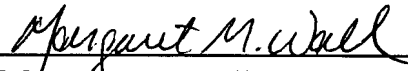
37 CFR 1.8
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner of Patents and Trademarks, Washington, D.C. 20231 on April 23, 1996

Signature: Mary Ann Holland
Name: Mary Ann Holland

It is believed that no fees are due with this submission. If this is an error, please charge any deficiency of the fees or credit any overpayment to Deposit Account No. 19-5117.

Respectfully submitted,


Margaret M. Wall
Registration No. 33,462
Swanson & Bratschun, L.L.C.
8400 E. Prentice Ave., Suite 200
Englewood, CO 80111
(303) 793-3333

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Attorney's Docket No.

UF141.C1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: Peck et al.

Serial No.: 08 / 432,434

Group No.: 1815

Filed: April 28, 1995

Examiner:

For*: In Vitro Growth of Functional Islets of Langerhans and
In Vivo Uses Thereof

☐ Patent No: Issued:

*NOTE: Insert name(s) of inventor(s) and title also for patent.

**Assistant Commissioner for Patents
Washington, D.C. 20231**

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST
(REVOCATION OF PRIOR POWERS)**

As assignee of record of the entire interest of the above identified

- ☒ application,
☐ patent,

REVOCATION OF PRIOR POWERS OF ATTORNEY

all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

the following attorney(s) and/or agent(s) are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

(list name and registration number)

Barry J. Swanson, Reg. No. 33,215
Thomas D. Bratschun, Reg. No. 32,966
Rosemary P. Kellogg, Reg. No. 39,726
Margaret M. Wall, Reg. No. 33,462

(check the following item, if applicable)

- ☐ Attached, as part of this power of attorney, is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO:

Barry J. Swanson
SWANSON & BRATSCUN, L.L.C.
8400 E. Prentice Avenue, Suite 200
Englewood, Colorado 80111
Facsimile: (303) 793-3433

DIRECT TELEPHONE CALLS TO:

Barry J. Swanson
(303) 793-3333

UNIVERSITY OF FLORIDA RESEARCH
FOUNDATION, INC.

(type or print identity of assignee of entire interest)

223 Grinter Hall

Address

Gainesville, Florida 32611

- ☐ Recorded in PTO on _____
Reel _____
Frame _____
☐ Recorded herewith

ASSIGNEE CERTIFICATION

Attached to this power is a "CERTIFICATE UNDER 37 CFR 3.73(b)."

Date Apr. 12, 1991

Signature

ARNOLD A. HEGGESTAD

*(type or print name of person authorized to
sign on behalf of assignee)*

Executive Director

Title

NOTE: The assignee of the entire interest may revoke previous powers and be represented by an attorney of his or her selection. 37 CFR 1.36.

(check the following item, if it forms a part of this power of attorney)

- ☐ Added page—Authorization of attorney(s) to accept and follow instructions from representative.

Certificate Under 37 CFR 3.73(b)

Applicant: Peck et al.

Application No.: 08/432,434 Filed: April 28, 1995

In Vitro Growth of Functional Islets of Langerhans and In Vivo
For: Uses Thereof

University of Florida
Research Foundation, Inc., a Florida non-profit corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: Inventors To: University of Florida
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: University of Florida To: University of Florida Research Foundation, Inc.
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: Apr 1 12, 1996

Name: ARNOLD A. HEGESTAD

Title: Executive Director

Signature: [Signature]

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings ⇨ ⇨ ⇨ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ammon B. Peck and Janet G. Cornelius

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: May 23, 1995

2. Name and address of receiving party(ies):

Name University of Florida

Internal Address: _____

Street Address: 186 Grinter Hall

City: Gainesville State: FL ZIP: 32611

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/432,434; filed April 28, 1995

(Docket No. UF141.C1)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Doran R. Pace

Internal Address: _____

Street Address: 2421 N.W. 41st Street,

Suite A-1

City: Gainesville State: FL ZIP: 32606

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

19-0065

(Attach duplicate copy of page if paying by deposit account)


DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Doran R. Pace

Name of Person Signing



Signature

May 31, 1995

Date

Total number of pages comprising cover sheet, attachments, and document:

3

ASSIGNMENT

WHEREAS, we, the undersigned, residing at the indicated addresses given below, respectively, have invented certain new and useful improvements in *In Vitro* Growth of Functional Islets of Langerhans and *In Vivo* Uses Thereof, for which an application for United States Letters Patent was

☒ signed by us as dated below;

☒ filed April 28, 1995, Serial No. N/A ; and

WHEREAS, the UNIVERSITY OF FLORIDA, existing by virtue of the laws of the State of Florida, and having an office at 186 Grinter Hall, Gainesville, Florida 32611, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent which may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in view of our prior employment with the UNIVERSITY OF FLORIDA, and other valuable consideration, we, the undersigned, have sold, assigned, and transferred, and by these presents do sell, assign, and transfer, unto said UNIVERSITY OF FLORIDA, its successors and assigns, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, and extensions thereof.

We hereby authorize and request the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to said UNIVERSITY OF FLORIDA, as the assignee of the entire right, title and interest in and to the same, for the sole use and behoof of said UNIVERSITY OF FLORIDA, its successors and assigns.

FURTHER, We agree that we will communicate to said UNIVERSITY OF FLORIDA, or its representatives, any facts known to us respecting said invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said UNIVERSITY OF FLORIDA; make all rightful oaths; and generally do everything possible to aid the said UNIVERSITY OF FLORIDA, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

IN TESTIMONY WHEREOF, We have hereunto set our hands this 23rd day
of May, 1995.

Signed *Ammon B. Peck*

Ammon B. Peck
Address: 9311 S.W. 43rd Lane
Gainesville, FL 32608

Signed *Janet G. Cornelius*

Janet G. Cornelius
Address: 6024 N.W. 52nd Terrace
Gainesville, FL 32653

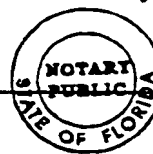
State of Florida)
)
County of Alachua)

On this 23 day of May, 1995, personally appeared before
me the above-named AMMON B. PECK and JANET G. CORNELIUS, to me known and
known to me to be the persons described in the foregoing instrument, who executed the
foregoing instrument, each for himself or herself, and who severally acknowledged the same
to be his/her free act and deed in and for the purposes set forth in said instrument.

Nancy R. Zurinsky

NANCY R. ZURINSKY
Notary Public
My Comm Exp. 10-22-97
Bonded By Service Ins
No. CC321821

My Commission Expires:



SEAL

☒ Personally Known ☐ Other I.D.

RECORDATION FORM COVER SHEET
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Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

University of Florida

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 6, 1995

2. Name and address of receiving party(ies):

University of Florida

Name Research Foundation, Inc.

Internal Address: _____

Street Address: 223 Grinter Hall

City: Gainesville State: FL ZIP: 32611

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

Serial No. 08/432,434; Filed April 28, 1995

(Docket No. UF141.C1)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Doran R. Pace

Internal Address: _____

Street Address: 2421 N.W. 41st Street

Suite A-1

City: Gainesville State: FL ZIP: 32606

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

19-0065

(Attach duplicate copy of page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Doran R. Pace

Name of Person Signing



Signature

July 5, 1995

Date

Total number of pages comprising cover sheet, attachments, and document:

3

ASSIGNMENT FROM UNIVERSITY OF FLORIDA
TO THE
UNIVERSITY OF FLORIDA RESEARCH FOUNDATION, INC.

In consideration of one dollar (\$1.00) and other good and valuable consideration, the UNIVERSITY OF FLORIDA, existing by virtue of the laws of the State of Florida, and having an office at 226 Tigert Hall, Gainesville, Florida 32611, sells and assigns to the UNIVERSITY OF FLORIDA RESEARCH FOUNDATION, INCORPORATED, a Florida not for profit corporation, having its office at 223 Grinter Hall, Gainesville, Florida 32611, its successors and assigns, the entire right, title and interest throughout the world in and to the improvements in :

"In Vitro Growth Of Functional Islets Of Langerhans And In Vivo uses Thereof," UF #1376, U.S. Serial No. 08/432,434, created by Ammon Peck and Janet Cornelius

and in and to said application and all patents (foreign and domestic) which may be granted therefor and thereon, and all divisions, reissues, continuations and extensions thereof (foreign and domestic), subject to performance by the UNIVERSITY OF FLORIDA RESEARCH FOUNDATION, INCORPORATED, of the obligations of the UNIVERSITY OF FLORIDA under the UNIVERSITY OF FLORIDA's Patent Policy with regard to the above-described invention, and the UNIVERSITY OF FLORIDA covenants that it has full right to so sell and assign; and it authorizes and requests the Commissioner of Patents and Trademarks to issue all patents on said improvements or resulting therefrom to said UNIVERSITY OF FLORIDA RESEARCH FOUNDATION, INCORPORATED, as assignee of the entire interest; and it agrees that it will communicate to said UNIVERSITY OF FLORIDA RESEARCH FOUNDATION, INCORPORATED, or its representatives any facts known to it respecting said improvements, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said UNIVERSITY OF FLORIDA RESEARCH FOUNDATION, INCORPORATED, its successors, assigns and nominees, to obtain and enforce proper protection for said improvements in all countries.

Executed this 6 day of June, 1995.

UNIVERSITY OF FLORIDA

By: [Signature]

John V. Lombardi, President

COUNTY OF ALACHUA

STATE OF FLORIDA

The foregoing instrument was acknowledged before me this

6/6/95 by John V. Lombardi, who is personally known to me and
(Date)

who did take an oath.

[Signature]
(Signature)

Notary Public, Commission No. [Number]



SANDRA R. HAYDEN
MY COMMISSION # CC 230125 EXPIRES
September 22, 1995
BONDED THRU TRCY FARM INSURANCE, INC.

(Name of Notary typed, printed or stamped)

Accepted by UNIVERSITY OF FLORIDA
RESEARCH FOUNDATION, INC.

By:

Karen A. Holbrook

Karen A. Holbrook, President

Attest:

James Bond